

| Details of Votes cast during quarter end December 20/from 01-Oct-20 to 31-Dec-20, of financial year 2020-2021 | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------|--------------|-----------------------------------------------------|-----------------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Meeting Date | ISIN | Company Name | Types of Meeting (AGM/EGM/PB/TCM) | Proposal by Management or Shareholder | Proposal's Description | Investee Company's Management Recommendation | Vote (FOR/AGAINST /ABSTAIN) | Reason supporting the vote decision |
| 08-Oct-20 | INE647A01010 | SRF Limited | PB | Management | Approval for raising of funds by issuance of equity shares through Qualified Institutions Placement(s) | FOR | ABSTAIN | As per voting policy, we do not vote for stocks held in passive funds |
| 09-Oct-20 | INE213A01029 | Oil & Natural Gas Corporation Limited | AGM | Management | Consideration and adoption of Audited Financial Statements | FOR | ABSTAIN | As per voting policy, we do not vote for stocks held in passive funds. |
| 09-Oct-20 | INE213A01029 | Oil & Natural Gas Corporation Limited | AGM | Management | Re-appointment of Shri Subhash Kumar as a Director | FOR | ABSTAIN | As per voting policy, we do not vote for stocks held in passive funds |
| 09-Oct-20 | INE213A01029 | Oil & Natural Gas Corporation Limited | AGM | Management | Re-appointment of Shri Rajesh Shyamsunder Kakkar as a Director | FOR | ABSTAIN | As per voting policy, we do not vote for stocks held in passive funds |
| 09-Oct-20 | INE213A01029 | Oil & Natural Gas Corporation Limited | AGM | Management | Authorisation to the Board of Directors for fixing the remuneration of the Auditors | FOR | ABSTAIN | As per voting policy, we do not vote for stocks held in passive funds |
| 09-Oct-20 | INE213A01029 | Oil & Natural Gas Corporation Limited | AGM | Management | Appointment of Shri Rajesh Madanlal Aggarwal as a Government Nominee Director | FOR | ABSTAIN | As per voting policy, we do not vote for stocks held in passive funds |
| 09-Oct-20 | INE213A01029 | Oil & Natural Gas Corporation Limited | AGM | Management | Appointment of Shri Om Prakash Singh as the Director (Technology and Field Services) | FOR | ABSTAIN | As per voting policy, we do not vote for stocks held in passive funds |
| 09-Oct-20 | INE213A01029 | Oil & Natural Gas Corporation Limited | AGM | Management | Appointment of Shri Anurag Sharma as the Director (Onshore) | FOR | ABSTAIN | As per voting policy, we do not vote for stocks held in passive funds |
| 09-Oct-20 | INE213A01029 | Oil & Natural Gas Corporation Limited | AGM | Management | Ratification of remuneration payable to Cost Auditors | FOR | ABSTAIN | As per voting policy, we do not vote for stocks held in passive funds |
| 15-Oct-20 | INE461C01038 | Greenply Industries Limited | PB | Management | To consider and approve 'Greenply Employee Stock Option Plan 2020' ("ESOP 2020" / "Plan") for the employees of the Company | FOR | FOR | No major concerns identified |
| 15-Oct-20 | INE461C01038 | Greenply Industries Limited | PB | Management | To approve grant of employee stock options to the employees of subsidiary company(ies) of the Company under 'Greenply Employee Stock Option Plan 2020' | FOR | FOR | No major concerns identified |
| 22-Oct-20 | INE114A01011 | Steel Authority of India Limited | AGM | Management | To receive, consider and adopt: (i) the Audited Standalone Financial Statements of the Company for the Financial Year ended 31st March, 2020, together with Reports of the Board of Directors and Auditors thereon. (ii) the Audited Consolidated Financial Statements of the Company for the Financial Year ended 31st March, 2020 and the Report of the Auditors thereon. | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting as per our internal guidelines. |
| 22-Oct-20 | INE114A01011 | Steel Authority of India Limited | AGM | Management | To appoint a director in place of Shri Harinand Rai (DIN:08189837), who retires by rotation at this Annual General Meeting and is eligible for reappointment | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting as per our internal guidelines. |
| 22-Oct-20 | INE114A01011 | Steel Authority of India Limited | AGM | Management | Fixation of Remuneration of Auditors | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting as per our internal guidelines. |
| 22-Oct-20 | INE114A01011 | Steel Authority of India Limited | AGM | Management | To appoint Shri Amit Sen (DIN:08602987) as a Whole Time Director | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting as per our internal guidelines. |
| 22-Oct-20 | INE114A01011 | Steel Authority of India Limited | AGM | Management | To appoint Shri Nidgurti Shankarappa (DIN:08609086) as an Independent Director | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting as per our internal guidelines. |
| 22-Oct-20 | INE114A01011 | Steel Authority of India Limited | AGM | Management | To appoint Shri Anirban Dasgupta (DIN:06832261) as a Whole Time Director | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting as per our internal guidelines. |
| 22-Oct-20 | INE114A01011 | Steel Authority of India Limited | AGM | Management | Ratification of Remuneration to Cost Auditors | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting as per our internal guidelines. |
| 22-Oct-20 | INE114A01011 | Steel Authority of India Limited | AGM | Management | To appoint Shri Amarendu Prakash(DIN:08896653) as a Whole Time Director | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting as per our internal guidelines. |
| 27-Oct-20 | INE335Y01012 | Indian Railway Catering and Tourism Corporation Ltd | AGM | Management | To consider and adopt the Audited Standalone Financial Statements of the Company for the financial year ended March 31, 2020 | FOR | FOR | Board meetings & general meetings have not been held in RIRTL since financial year 2010-2011, due to pending dispute with Cox & Kings Limited. Therefore, the consolidation of financial statements as required under section 129(3) of the Companies Act 2013 could not be done as also explained and disclosed vide Note No. 45 of the Notes to accounts of the financial statements for the period ending 31st March 2020. |
| 27-Oct-20 | INE335Y01012 | Indian Railway Catering and Tourism Corporation Ltd | AGM | Management | To confirm the payment of interim dividend of ₹10/- per share [amounting to ₹160 crore on 16,00,00,000 equity shares] and to declare a final dividend of ₹2.50/- per share [amounting to ₹40 crore (Rupees Forty crore) on 16,00,00,000 equity shares] for the financial year 2019-20 | FOR | FOR | Compliant with law. No concern identified. |
| 27-Oct-20 | INE335Y01012 | Indian Railway Catering and Tourism Corporation Ltd | AGM | Management | To appoint a Director in place of Smt. Rajni Hasija, Director [Tourism & Marketing] (DIN 08083674), who retires by rotation and being eligible, offers herself for re-appointment | FOR | FOR | Compliant with law |
| 27-Oct-20 | INE335Y01012 | Indian Railway Catering and Tourism Corporation Ltd | AGM | Management | To take note of the appointment of M/s P.R. Mehra & Co, Statutory Auditors for the Financial Year 2020-21, appointed by Comptroller & Auditor General of India (C&AG) vide its letter No.CA. V/COY/CENTRAL GOVERNMENT. RLYCAT (11)/110 dated 10.08.2020 and authorize the Board of Directors of the Company to fix their remuneration | FOR | FOR | Compliant with law. No concern identified. |
| 27-Oct-20 | INE335Y01012 | Indian Railway Catering and Tourism Corporation Ltd | AGM | Management | To appoint Shri Vinay Srivastava (DIN: 08638850) as Parttime Government Director on the Board of the Company | FOR | FOR | Compliant with law |

Details of Votes cast during quarter end December 20/from 01-Oct-20 to 31-Dec-20, of financial year 2020-2021

| Meeting Date | ISIN | Company Name | Types of Meeting (AGM/EGM/PB/TCM) | Proposal by Management or Shareholder | Proposal's Description | Investee Company's Management Recommendation | Vote (FOR/AGAINST /ABSTAIN) | Reason supporting the vote decision |
|--------------|--------------|-----------------------------------------------------|-----------------------------------|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-----------------------------|---------------------------------------------------------------------------------------------------------------------------|
| 27-Oct-20 | INE335V01012 | Indian Railway Catering and Tourism Corporation Ltd | AGM | Management | To appoint Shri Ajit Kumar (DIN: 07247362), as Director (Finance) on the Board of the Company | FOR | FOR | Compliant with law |
| 30-Oct-20 | INE726G01019 | ICICI Prudential Life Insurance Company Ltd | PB | Management | Payment of remuneration to Mr. M. S. Ramachandran (DIN: 00943629), non-executive Independent Director, Chairman of the Company | FOR | FOR | Compliant with Law. No major concern identified |
| 11-Nov-20 | INE717A01029 | Kennametal India Limited | AGM | Management | To receive, consider and adopt: a. the Audited Standalone Financial Statements of the Company for the Financial year ended June 30, 2020, together with the Reports of the Board of Directors and the Auditors thereon; and b. the Audited Consolidated Financial Statements of the Company for the financial year ended June 30, 2020 together with the Report of Auditors thereon. | FOR | FOR | Unqualified Financial Statements. Compliant with Accounting Standards |
| 11-Nov-20 | INE717A01029 | Kennametal India Limited | AGM | Management | To appoint Mr. Devi Parameswar Reddy (DIN: 03450016), Director who retires by rotation and being eligible, offers himself for reappointment | FOR | FOR | No major governance concern identified |
| 11-Nov-20 | INE717A01029 | Kennametal India Limited | AGM | Management | Appointment of Ms. Bhavna Bindra (DIN: 07314422) as a Director and an Independent Director of the Company | FOR | FOR | Compliant with Law. No governance concern identified. |
| 11-Nov-20 | INE717A01029 | Kennametal India Limited | AGM | Management | Appointment of Mr. Vijaykrishnan Venkatesan (DIN: 07901688) as Director and Managing Director of the Company | FOR | FOR | Compliant with Law. No major governance concern identified. |
| 11-Nov-20 | INE717A01029 | Kennametal India Limited | AGM | Management | Ratification of remuneration to Cost Auditors | FOR | FOR | No major governance concern |
| 11-Nov-20 | INE717A01029 | Kennametal India Limited | AGM | Management | Approval of Material Related Party Transactions with Kennametal Inc., USA | FOR | FOR | Compliant with Law. No major governance concern identified. |
| 11-Nov-20 | INE717A01029 | Kennametal India Limited | AGM | Management | Approval of Material Related Party Transactions with Kennametal Europe GmbH | FOR | FOR | Compliant with Law. No major governance concern identified |
| 16-Nov-20 | INE075A01022 | Wipro Limited | PB | Management | Approval for Buyback of Equity Shares | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting as per our internal guidelines. |
| 18-Nov-20 | INE467B01029 | Tata Consultancy Services Limited | PB | Management | Approval For Buyback Of Equity Shares | FOR | FOR | Compliant with law. No concern identified. |
| 24-Nov-20 | INE179A01014 | Procter & Gamble Hygiene and Health Care Limited | AGM | Management | To receive, consider and adopt the Audited Balance Sheet as at June 30, 2020 and the Statement of Profit and Loss for the Financial Year ended on that date, together with the Reports of the Auditors and Directors thereon. | FOR | ABSTAIN | Passive Holdings |
| 24-Nov-20 | INE179A01014 | Procter & Gamble Hygiene and Health Care Limited | AGM | Management | To declare Final Dividend for the Financial Year ended June 30, 2020. | FOR | ABSTAIN | Passive Holdings |
| 24-Nov-20 | INE179A01014 | Procter & Gamble Hygiene and Health Care Limited | AGM | Management | To appoint a Director in place of Mr. Gagan Sawhney (DIN 08279568), who retires by rotation and being eligible, offers himself for re-appointment. | FOR | ABSTAIN | Passive Holdings |
| 24-Nov-20 | INE179A01014 | Procter & Gamble Hygiene and Health Care Limited | AGM | Management | To appoint a Director in place of Ms. Sonali Dhawan (DIN 06808527), who retires by rotation and being eligible, offers herself for re-appointment | FOR | ABSTAIN | Passive Holdings |
| 24-Nov-20 | INE179A01014 | Procter & Gamble Hygiene and Health Care Limited | AGM | Management | Appointment of Mr. Chitranjan Dua as Non-Executive Independent Director of the Company | FOR | ABSTAIN | Passive Holdings |
| 24-Nov-20 | INE179A01014 | Procter & Gamble Hygiene and Health Care Limited | AGM | Management | Ratification of payment of remuneration to the Cost Auditor for the Financial Year 2020-21 | FOR | ABSTAIN | Passive Holdings |
| 26-Nov-20 | INE199A01012 | Procter & Gamble Health Ltd | AGM | Management | To receive, consider and adopt the Audited Balance Sheet as at June 30, 2020 and the Statement of Profit and Loss for the Financial Year ended on that date, together with the Reports of the Auditors and Directors thereon. | FOR | FOR | Unqualified Financial Statements. No concern identified. |
| 26-Nov-20 | INE199A01012 | Procter & Gamble Health Ltd | AGM | Management | To declare Final Dividend for the Financial Year ended June 30, 2020. | FOR | FOR | The Company has sufficient liquid assets to pay the dividend. No concern identified. |
| 26-Nov-20 | INE199A01012 | Procter & Gamble Health Ltd | AGM | Management | To appoint a Director in place of Mr. Amit Gupta (DIN 008295179), who retires by rotation and being eligible, offers himself for re-appointment. | FOR | FOR | Complaint with Law. No governance concern has been Identified. |
| 26-Nov-20 | INE199A01012 | Procter & Gamble Health Ltd | AGM | Management | Appointment of Mr. Aalok Agrawal as a Non-Executive Director of the Company, liable to retire by rotation. | FOR | FOR | Compliant with Law. No governance concern Identified. |
| 26-Nov-20 | INE199A01012 | Procter & Gamble Health Ltd | AGM | Management | Appointment of Mr. Sujay Wasan as a Non-Executive Director of the Company, liable to retire by rotation | FOR | FOR | Compliant with Law. No governance concern Identified. |
| 26-Nov-20 | INE199A01012 | Procter & Gamble Health Ltd | AGM | Management | Revision of terms of remuneration of Mr. Millind Thatte, Managing Director | FOR | FOR | Compliant with Law. No Governance concern has been Identified. |
| 26-Nov-20 | INE199A01012 | Procter & Gamble Health Ltd | AGM | Management | Revision of terms of remuneration of Mr. Amit Gupta, Executive Director. | FOR | FOR | Complaint with Law. No governance concern has been Identified. |
| 26-Nov-20 | INE199A01012 | Procter & Gamble Health Ltd | AGM | Management | Ratification of Payment of Remuneration to the Cost Auditor for the Financial Year 2020-21 | FOR | FOR | No major concern identified. |
| 29-Nov-20 | INE121J01017 | Indus Towers Ltd | PB | Management | Appointment of Mr. Bimal Dayal (DIN: 08927887) as Director liable to retire by rotation | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting, as per our internal guidelines. |
| 29-Nov-20 | INE121J01017 | Indus Towers Ltd | PB | Management | Appointment of Mr. Bimal Dayal (DIN: 08927887) as Managing Director of the Company | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting, as per our internal guidelines |

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| Meeting Date | ISIN | Company Name | Types of Meeting (AGM/EGM/PB/TCM) | Proposal by Management or Shareholder | Proposal's Description | Investee Company's Management Recommendation | Vote (FOR/AGAINST /ABSTAIN) | Reason supporting the vote decision |
| 29-Nov-20 | INE121J01017 | Indus Towers Ltd | PB | Management | Approval of special bonus to Mr. Akhil Gupta – Chairman (DIN: 00028728) | FOR | ABSTAIN | Since the company is part of our passive portfolio, we would like to abstain from voting, as per our internal guidelines |
| 30-Nov-20 | INE974X01010 | Tube Investments of India Limited | EGM | Management | Investing in securities of and providing loans to and/or guarantees or security in respect of borrowings availed/ to be availed by M/s. CG Power and Industrial Solutions Limited and any subsidiaries of the Company | FOR | FOR | No concern identified |
| 30-Nov-20 | INE562A01011 | Indian Bank | EGM | Management | Appropriation of accumulated losses of ₹18,975.53 Crore from Share Premium Account of the Bank | FOR | FOR | Compliant with law. No governance concern identified |
| 01-Dec-20 | INE040A01034 | HDFC Bank Limited | PB | Management | Appointment of Mr. Sashidhar Jagdishan (DIN: 08614396) as a Director of the Bank | FOR | FOR | Complaint with Law. No governance concern identified. |
| 01-Dec-20 | INE040A01034 | HDFC Bank Limited | PB | Management | Appointment of Mr. Sashidhar Jagdishan (DIN: 08614396) as the Managing Director & Chief Executive Officer of the Bank, for a period of three (3) years, w.e.f. October 27, 2020, on the terms and conditions relating to the said appointment, including remuneration, as approved by the Board | FOR | FOR | Complaint with Law. No governance concern identified. |
| 03-Dec-20 | INE702C01027 | APL Apollo Tubes Limited | PB | Management | Subdivision Of Equity Shares From The Face Value Of ₹10 To Face Value Of ₹2 Per Share | FOR | FOR | Compliant with Law. No concern identified |
| 03-Dec-20 | INE702C01027 | APL Apollo Tubes Limited | PB | Management | Alteration Of 'Capital Clause' Of Memorandum Of Association Of The Company | FOR | FOR | Compliant with Law. No concern identified. |
| 05-Dec-20 | INE09E001013 | Aarti Surfactants Ltd | EGM | Management | Re – Classification of Promoter/ Promoter Group | FOR | FOR | Compliant with law. No major concern identified |
| 05-Dec-20 | INE09E001013 | Aarti Surfactants Ltd | EGM | Management | To Issue, Offer Equity Shares on Preferential Basis | FOR | FOR | Compliant with law |
| 09-Dec-20 | INE238A01034 | Axis Bank Limited | PB | Management | Re-appointment of Smt. Ketaki Bhagwati (DIN 07367868) as an Independent Director of the Bank, for her second term of three (3) years, with effect from 19th January 2021. | FOR | FOR | Compliant with law. No governance concern observed |
| 09-Dec-20 | INE238A01034 | Axis Bank Limited | PB | Management | Appointment of Smt. Meena Ganesh (DIN: 00528252) as an Independent Director of the Bank, for a period of four (4) years, with effect from 1st August 2020. | FOR | FOR | Compliant with law. No governance concern observed. |
| 09-Dec-20 | INE238A01034 | Axis Bank Limited | PB | Management | Appointment of Shri Gopalaraman Padmanabhan (DIN: 07130908) as an Independent Director of the Bank, for a period of four (4) years, with effect from 28th October 2020. | FOR | FOR | Compliant with law. No governance concern observed. |
| 11-Dec-20 | INE484J01027 | Godrej Properties Limited | PB | Management | Payment of remuneration to Mr. Pirojsha Godrej, Executive Chairman in excess of the limits under the Companies Act, 2013 | FOR | FOR | No major concern identified. |
| 11-Dec-20 | INE484J01027 | Godrej Properties Limited | PB | Management | Payment of remuneration to Mr. Mohit Malhotra, Managing Director & Chief Executive Officer in excess of the limits under the Companies Act, 2013 | FOR | FOR | No major concern identified. |
| 11-Dec-20 | INE484J01027 | Godrej Properties Limited | PB | Management | Payment of remuneration to non-executive directors in excess of the Limits under the Companies Act, 2013 | FOR | FOR | No major concern identified. |
| 11-Dec-20 | INE811K01011 | Prestige Estates Projects Limited | EGM | Management | To approve the divestment of the asset(s)/undertaking(s)/ direct or indirect interest of the Company in various commercial offices (including asset and common area management business in relation to these commercial offices), under construction office assets, retail assets, hotel properties, mall management and identified maintenance businesses to BREP Asia II Indian Holding Co IX (NQ) Pte. Ltd, BREP Asia II Indian Holding Co VII (NQ) Pte. Ltd and BREP Asia II Indian Holding Co III (NQ) Pte. Ltd, and/or their affiliates (collectively referred to as, the "Buyers"). | FOR | FOR | No major concerns identified. Divestment proceeds will lead to de-leveraging. |
| 11-Dec-20 | INE765G01017 | ICICI Lombard General Insurance Company Limited | PB | Management | Re-appointment of Mr. Alok Kumar Agarwal (DIN: 03434304), as a Whole-time Director designated as Executive Director-Wholesale of the Company | FOR | FOR | Appointment compliant with law. No concern identified |
| 12-Dec-20 | INE323A01026 | Bosch Limited | PB | Management | Approval for giving loans to Companies in which the Directors of the Company are interested | FOR | FOR | No major governance concern identified |
| 17-Dec-20 | INE763G01038 | ICICI Securities Limited | PB | Management | Enhancement of the existing borrowing limit under Section 180 of the Companies Act, 2013 | FOR | FOR | No governance concern identified. |
| 17-Dec-20 | INE763G01038 | ICICI Securities Limited | PB | Management | Enhancement of the existing limit under Section 186 of the Companies Act, 2013 | FOR | FOR | No concern identified. |
| 19-Dec-20 | INE154A01025 | ITC Limited | PB | Management | Adoption of new Articles of Association in terms of the provisions of Section 14 of the Act | FOR | FOR | No major governance concern identified |
| 20-Dec-20 | INE510A01028 | Engineers India Limited | PB | Management | Approval For Buy-Back Of Equity Shares. | FOR | FOR | Compliant with law. No concern identified. |
| 21-Dec-20 | INE974X01010 | Tube Investments of India Limited | EGM | Management | Issue of Equity Shares on a Preferential Allotment/Private Placement Basis to entities not belonging to the promoter or promoter group of the Company | FOR | FOR | Compliant with the Law. No major governance concern observed. |
| 21-Dec-20 | INE974X01010 | Tube Investments of India Limited | EGM | Management | Appointment of Mr. K R Srinivasan as President and Whole-time Director and the terms of remuneration thereof | FOR | FOR | Appointment compliant with the law. No governance concern observed. |
| 21-Dec-20 | INE517F01014 | Gujarat Pipavav Port Limited | PB | Management | Appointment of Mr. Samir Chaturvedi (DIN: 08911552) as an Independent Director for a period of five consecutive years commencing from 12th November 2020 to 11th November 2025 | FOR | FOR | Compliant with law. No concern identified. |
| 21-Dec-20 | INE517F01014 | Gujarat Pipavav Port Limited | PB | Management | Appointment of Mr. Soren Brandt (DIN: 00270435) as Director of the Company | FOR | FOR | Compliant with law. No concern identified. |

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| Meeting Date | ISIN | Company Name | Types of Meeting (AGM/EGM/PB/TCM) | Proposal by Management or Shareholder | Proposal's Description | Investee Company's Management Recommendation | Vote (FOR/AGAINST /ABSTAIN) | Reason supporting the vote decision |
| 21-Dec-20 | INE517F01014 | Gujarat Pipavav Port Limited | PB | Management | Appointment of Mr. Maarten Degryse (DIN: 08925380) as Director of the Company | FOR | FOR | Compliant with law. No concern identified. |
| 23-Dec-20 | INE371P01015 | Amber Enterprises India Limited | PB | Management | Ratification of "Amber Enterprises India Limited - Employee Stock Option Plan 2017". | FOR | ABSTAIN | Lack of details on exercise price. |
| 23-Dec-20 | INE371P01015 | Amber Enterprises India Limited | PB | Management | Approval for increasing total number of employee stock options reserved under "Amber Enterprises India Limited - Employee Stock Option Plan 2017". | FOR | ABSTAIN | Lack of details on exercise price. |
| 23-Dec-20 | INE371P01015 | Amber Enterprises India Limited | PB | Management | Grant of stock options to the employees of Subsidiary Companies ("Subsidiaries") under "Amber Enterprises India Limited - Employee Stock Option Plan 2017". | FOR | ABSTAIN | Lack of details on exercise price. |
| 23-Dec-20 | INE541A01023 | GMM Pfaudler Limited | PB | Management | Approval for loans/ investments/ corporate guarantees by the Company in excess of the limits prescribed under Section 186(3) of the Companies Act, 2013. | FOR | FOR | No major concerns identified |
| 23-Dec-20 | INE541A01023 | GMM Pfaudler Limited | PB | Management | Approval of the acquisition of the Pfaudler Group | FOR | FOR | No major concerns identified |
| 23-Dec-20 | INE541A01023 | GMM Pfaudler Limited | PB | Management | Approval for creation of pledge by the Company in respect of its shareholding in GMM International S.à.r.l. | FOR | FOR | No major concerns identified |
| 23-Dec-20 | INE541A01023 | GMM Pfaudler Limited | PB | Management | Approval for borrowings by the Company in excess of the limits prescribed under Section 180(1)(c) of the Companies Act, 2013 | FOR | FOR | No major concerns identified |
| 23-Dec-20 | INE541A01023 | GMM Pfaudler Limited | PB | Management | Creation of security in respect of an undertaking of the Company under Section 180(1)(a) of the Companies Act, 2013 | FOR | FOR | No major concerns identified |
| 23-Dec-20 | INE541A01023 | GMM Pfaudler Limited | PB | Management | Approval for creation of pledge by the Company in respect of its shareholding in GMM International S.à.r.l. | FOR | FOR | No major concerns identified |
| 23-Dec-20 | INE541A01023 | GMM Pfaudler Limited | PB | Management | Approval for the adoption of the amended articles of association of the Company | FOR | FOR | No major concerns identified |
| 23-Dec-20 | INE028A01039 | Bank of Baroda | EGM | Management | Shri Nitish Gupta | FOR | ABSTAIN | Part of passive fund |
| 23-Dec-20 | INE028A01039 | Bank of Baroda | EGM | Management | Smt. Soundara Kumar | FOR | ABSTAIN | Part of passive fund |
| 23-Dec-20 | INE028A01039 | Bank of Baroda | EGM | Management | Shri Ramesh Chandra Agrawal | FOR | ABSTAIN | Part of passive fund |
| 23-Dec-20 | INE028A01039 | Bank of Baroda | EGM | Management | Smt. Rekha Somani | FOR | ABSTAIN | Part of passive fund |
| 23-Dec-20 | INE461C01038 | Greenply Industries Limited | PB | Management | To consider and approve variation in the 'Greenply Employee Stock Option Plan 2020' ("ESOP 2020" / "Plan") for the employees of the Company. | FOR | ABSTAIN | Lack of details on exercise price. |
| 23-Dec-20 | INE461C01038 | Greenply Industries Limited | PB | Management | To consider and approve variation in the 'Greenply Employee Stock Option Plan 2020' ("ESOP 2020" / "Plan") for the employees of the subsidiary(ies) of the Company. | FOR | ABSTAIN | Lack of details on exercise price. |
| 23-Dec-20 | INE461C01038 | Greenply Industries Limited | PB | Management | To consider and approve grant of options to the identified employees during any one year, equal to or exceeding 1% of the issued share capital of the Company under the 'Greenply Employee Stock Option Plan 2020' ("ESOP 2020"/ "Plan"). | FOR | ABSTAIN | Lack of details. |
| 23-Dec-20 | INE461C01038 | Greenply Industries Limited | PB | Management | Re-appointment of Mr. Rajesh Mittal as Chairman cum Managing Director of the Company, liable to retire by rotation. | FOR | FOR | No major concerns identified. |
| 23-Dec-20 | INE481Y01014 | General Insurance Corporation of India | AGM | Management | To receive, consider and adopt the Audited Financial Statements of the Corporation for the financial year ended 31st March 2020, the Consolidated Financial Statements for the said financial year and the reports of the Board of Directors and the Auditors thereon. | FOR | FOR | Unqualified financial statements. Compliant with Accounting Standards. |
| 23-Dec-20 | INE481Y01014 | General Insurance Corporation of India | AGM | Management | To authorize the Board of Directors to fix the remuneration of the Joint Statutory Auditors appointed by the Comptroller and Auditor General of India (C & AG) for the financial year 2020-21. | FOR | FOR | Compliant with law. No major governance concern identified. |
| 23-Dec-20 | INE481Y01014 | General Insurance Corporation of India | AGM | Management | Appointment of Shri Devesh Srivastava (DIN: 08646006) as Chairman and Managing Director of the Corporation. | FOR | FOR | No concern identified. |
| 23-Dec-20 | INE481Y01014 | General Insurance Corporation of India | AGM | Management | Appointment of Ms. Dakshita Das (DIN: 07662681) as Government Nominee Director of the Corporation. | FOR | FOR | No concern identified. |
| 23-Dec-20 | INE481Y01014 | General Insurance Corporation of India | AGM | Management | Appointment of Ms. A. Manimekhalai (DIN: 08411575) as an Independent Director of the Corporation. | FOR | AGAINST | Appointment technically non-compliant. Term of appointment is ambiguous and against letter and spirit of the law. |
| 23-Dec-20 | INE481Y01014 | General Insurance Corporation of India | AGM | Management | Appointment of Shri Atanu Kumar Das (DIN: 07758968) as an Independent Director of the Corporation. | FOR | AGAINST | Appointment non-compliant. Poor attendance. Term of appointment is ambiguous and against letter and spirit of the law. |
| 23-Dec-20 | INE481Y01014 | General Insurance Corporation of India | AGM | Management | Appointment of Shri Deepak Prasad (DIN: 08976647) as General Manager and Director of the Corporation. | FOR | FOR | No concern identified. |
| 24-Dec-20 | INE239A01016 | Nestle India Limited | PB | Management | Appointment of Mr Matthias Christoph Lohner (DIN 0008934420), as Whole-Time Director, designated as "Executive Director-Technical", for a term of five consecutive years with effect from 1st November 2020 | FOR | FOR | Compliant with Law. No governance concern identified |
| 26-Dec-20 | INE619801017 | Newgen Software Technologies Ltd | PB | Management | Approval Of Newgen Software Technologies Restricted Stock Units Scheme – 2021. | FOR | FOR | Compliant with law. No concern identified. |

| Details of Votes cast during quarter end December 20/from 01-Oct-20 to 31-Dec-20, of financial year 2020-2021 | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------|--------------|----------------------------------|-----------------------------------|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-----------------------------|------------------------------------------------------------------------------------------------------------------|
| Meeting Date | ISIN | Company Name | Types of Meeting (AGM/EGM/PB/TCM) | Proposal by Management or Shareholder | Proposal's Description | Investee Company's Management Recommendation | Vote (FOR/AGAINST /ABSTAIN) | Reason supporting the vote decision |
| 26-Dec-20 | INE619B01017 | Newgen Software Technologies Ltd | PB | Management | To Approve Extending The Benefits To The Employees Of Subsidiary Company(ies) Under Newgen Software Technologies Restricted Stock Units Scheme – 2021. | FOR | FOR | Compliant with law. No concern identified |
| 26-Dec-20 | INE619B01017 | Newgen Software Technologies Ltd | PB | Management | To Approve Acquisition Of Equity Shares By Way Of Secondary Acquisition Under Newgen Software Technologies Restricted Stock Units Scheme – 2021. | FOR | FOR | Compliant with law. No concern identified |
| 26-Dec-20 | INE619B01017 | Newgen Software Technologies Ltd | PB | Management | Provision Of Money By The Company For Purchase Of Its Own Shares By The Trust / Trustees For The Benefit Of Employees Under Newgen Software Technologies Restricted Stock Units Scheme – 2021. | FOR | FOR | Compliant with law. No concern identified |
| 27-Dec-20 | INE540L01014 | Alkem Laboratories Limited | PB | Management | Increase the remuneration of Mr. Sandeep Singh, Managing Director of the Company. | FOR | FOR | Compliant with Law. No concern identified. |
| 29-Dec-20 | INE334A01023 | Sterling Tools Limited | PB | Management | Payment of success fee/ performance fee to shri Jaideep Wadhwa Non Executive Non Independent Director of the company. | FOR | FOR | Compliant with Law. No major Concern identified. |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To receive, consider and adopt the audited standalone financial statements of the Company for the financial year ended March 31, 2020, together with the Reports of the Board of Directors and Auditors thereon. | FOR | FOR | Unqualified financial statements. Compliant with Accounting Standards. |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To receive, consider and adopt the audited consolidated financial statements of the Company and its subsidiary for the year ended March 31, 2020 and the Report of the Auditors thereon. | FOR | FOR | Unqualified financial statements. Compliant with Accounting Standards. |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To appoint Mr. Sahil Vachani (DIN: 00761695), who retires by rotation and being eligible offers himself for re-appointment, as a Director. | FOR | FOR | Compliant with Law. No major governance concern has been identified. |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To re-appoint M/s Deloitte Haskins and Sells, LLP as the Statutory Auditors of the Company. | FOR | AGAINST | Not on Merit of Auditors. Resolution is Non-Compliant with SEBI LODR. Proposed audit fees payable not disclosed. |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To re-appoint Mrs. Naina Lal Kidwai (DIN: 00017806) as an Independent Director for the second and final term of 5 years. | FOR | FOR | No concern identified |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To consider re-appointment of Mr. Mohit Talwar (DIN: 02394694) as the Managing Director of the Company. | FOR | FOR | No concern identified |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To ratify/approve and take on record valuation report with regard to preferential issue of Equity Shares of the Company. | FOR | FOR | No concern identified |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To approve limits for purchase of equity shares of Max Life Insurance Company Limited, from time to time. | FOR | FOR | No concern identified |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To regularize the appointment of Mr. Hideaki Nomura (DIN: 05304525). | FOR | FOR | Compliant with Law. No concern has been identified. |
| 30-Dec-20 | INE180A01020 | Max Financial Services Limited | AGM | Management | To regularize the appointment of Mr. Mitsuru Yasuda (DIN: 08785791). | FOR | FOR | Compliant with Law. No concern has been identified. |